### Machine Learning: Part I

## UMaine COS 470/570 – Introduction to AI Spring 2019

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Perceptrons

Nonlinear neurons

Feedforward neural networks

Matrix form of NN

Gradient descent learning in FFNs

Backpropagation

Deep learning

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## Artificial neural networks

- Systems of simple computing elements: *neurons*
- Each neuron accepts inputs from others, produces activation
- Neurons connected via weights that modulate activation
- Can be viewed as:
  - Pattern-learning (inductive) systems
  - Statistical programs
  - Dimension/feature-changing systems
  - Search programs (in weight space)

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Summary



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- Image classification and labeling
- Word recognition
- Natural language systems
- Machine translation systems
- General pattern recognition
- Superhuman-level performance on games, other RL tasks
- Pattern generators (images, music, ...)

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### Inspiration: Natural pattern recognition

- Pattern recognition in natural world:
  - Chemoreceptors
  - Immune system
  - Biological neural networks
    - Animal/human vision system
    - Auditory system
    - Neocortex
    - Etc.

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### Neural systems

- Most flexible pattern recognizers:
- Biological computing elements: Neurons
- Neurons are excitatory cells
- Connections determine how activation spreads

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Neurons are very complex

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Neurons are very complex



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Neurons are very complex



- Synapses: change potential across cell membrane
- Neuron effectively sums excitations, inhibitions
- At some point: potential at threshold and neuron *fires*
- Excitatory pulse down axon, release neurotransmitter at synapses

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Lots more to it than this!

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Lots more to it than this!



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- McCulloch & Pitts
- Very simple model of a neuron

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- McCulloch & Pitts
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$$ext{output} = egin{cases} 0 & ext{if } \sum_j w_j x_j \leq ext{threshold} \ 1 & ext{otherwise} \end{cases}$$

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Machine Learning:

$$egin{aligned} ext{output} = egin{cases} 0 & ext{if } \sum_j w_j x_j \leq ext{threshold} \ & 1 & ext{otherwise} \ 1 & ext{otherwise} \end{aligned}$$

Artificial Intelligence

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- McCulloch & Pitts
- Very simple model of a neuron
- Usually change threshold to bias (= -threshold)

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$$ext{output} = egin{cases} 0 & ext{if } \sum_j w_j x_j + b \leq 0 \ & 1 & ext{otherwise} \end{cases}$$



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• "Weigh evidence"  $\Rightarrow$  decision

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- "Weigh evidence"  $\Rightarrow$  decision
- ► E.g.:

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- "Weigh evidence"  $\Rightarrow$  decision
- ► E.g.:
  - output = "study"

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- "Weigh evidence"  $\Rightarrow$  decision
- ► E.g.:
  - output = "study"
  - x<sub>1</sub> = test on Monday, x<sub>2</sub> = confident of material, x<sub>3</sub> = doing poorly in class





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  - Test on Monday, confident, doing poorly:  $1 + (-1) + 2 = 2 \Rightarrow \text{output} = 1$

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- Rosenblatt's perceptron algorithm
- ► Use *training examples*

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Let:

- $y_k$  = desired output for example k
- $a_k$  = actual output for example k
- Error on example  $k = y_k a_k$
- Define an error function  $E_k$  for example k

$$E = \sum_k E_k = \frac{1}{2} \sum_k (y_k - a_k)^2$$

- Squaring make error always positive (parabola)
- The 1/2 "makes the math easier" (as we'll see)

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- Goal: minimize E by minimizing each  $E_k$
- $\blacktriangleright$  *E<sub>k</sub>* is a function of the weights



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- Use gradient descent instead
- With one weight:
- Slope at point:  $\frac{dE_k}{dx_i}$ tells which direction to move  $w'_1 = w_1 - \alpha \frac{dE_k}{dx_i} \sum_{E_1}^{E_1}$ where  $\alpha$  is the *learning rate*







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Suppose there are 2 weights, x and y

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Suppose there are 2 weights, x and y



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▶ Now "slope" is really the *gradient*  $\nabla$  at (*x*, *y*)



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Now "slope" is really the *gradient*  $\nabla$  at (x, y)

$$\nabla(\mathbf{w}_i) = \frac{\partial E_k}{\partial \mathbf{w}_i}$$
 and  $\mathbf{w}_{i,t+1} = \mathbf{w}_{i,t} - \alpha \frac{\partial E_k}{\partial \mathbf{w}_{i,t}}$ 

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Gradient descent: hill-climbing in multiple dimensions



• What is  $\frac{\partial E_k}{\partial w_i}$ ?

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• What is 
$$\frac{\partial E_k}{\partial w_i}$$
?

### • We know that the output for $k^{\text{th}}$ example $a_k = \sum_i w_i x_i$

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• What is 
$$\frac{\partial E_k}{\partial w_i}$$
?

- We know that the output for  $k^{\text{th}}$  example  $a_k = \sum_i w_i x_i$
- ► Chain rule:

$$\frac{\partial E_k}{\partial w_i} = \frac{\partial E_k}{\partial a_k} \frac{\partial a_k}{\partial w_i} = \frac{\partial \frac{1}{2} (y_k - a_k)^2}{\partial a_k} \frac{\partial (w_1 x_1 + w_2 x_2 + \cdots + w_n x_n)}{\partial w_i} = -(y_k - a_k) x_i$$

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- We know that the output for  $k^{\text{th}}$  example  $a_k = \sum_i w_i x_i$
- ► Chain rule:

$$\frac{\partial E_k}{\partial w_i} = \frac{\partial E_k}{\partial a_k} \frac{\partial a_k}{\partial w_i}$$
  
= 
$$\frac{\partial \frac{1}{2} (y_k - a_k)^2}{\partial a_k} \frac{\partial (w_1 x_1 + w_2 x_2 + \cdots + w_n x_n)}{\partial w_i}$$
  
= 
$$-(y_k - a_k) x_i$$

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Since 
$$\Delta w_i = \alpha \frac{\partial E_k}{\partial w_i}$$
, then  
 $w_{i,t+1} = w_{i,t} - \alpha(-(y_k - a_k)x_i) = w_{i,t} + \alpha(y_k - a_k)x_i$ 



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- So for each example  $\langle (x_1, x_2, \cdots, x_n), y \rangle$ 
  - Compute output a
  - Adjust weights:

$$W_{i,t+1} = W_{i,t} + \alpha(y - a)x_i$$

for all weights weights w<sub>i</sub>

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Algorithm first in IBM 704 in late 50s

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- Algorithm first in IBM 704 in late 50s
- ► Then:



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- Algorithm first in IBM 704 in late 50s
- ► Then:



Mark I Perceptron Machine (Wikipedia)

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- Algorithm first in IBM 704 in late 50s
- ► Then:



- Mark I Perceptron Machine (Wikipedia)
- Image recognition: 20×20 photocell array
- Potentiometers: weights
- Pots adjusted by motors from learning



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```
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(defclass perceptron ()
                                                                       Perceptrons
  ((num-inputs :initarg :num-inputs :initform 3
                                                                       Perceptrons
                                                                       Extending perceptrons
                 :accessor num-inputs)
   (inputs : initarg : inputs : initform nil : accessor inputs)
                                                                      Nonlinear neurons
   (weights :initarg :weights :initform nil
                                                                      Feedforward
             :accessor weights)
                                                                      neural networks
   (bias :initarg :bias :initform 0 :accessor bias)
                                                                      Matrix form of NN
   (output :initarg :output :initform nil :accessor output)
                                                                      Gradient descent
   (target :initarg :target :initform nil :accessor target)
                                                                      learning in FFNs
   (alpha :initarg :alpha :initform 1.0 :accessor alpha)
                                                                      Backpropagation
                                                                      Deep learning
                                                                      Summary
(defmethod initialize-instance :after ((self perceptron))
                                            &rest 1)
  (declare (ignore 1))
  (with-slots (num-inputs weights) self
    (setq weights
      (loop for i from 1 to num-inputs
           collect (random 1.0))))
```



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```
(defmethod compute-output ((self perceptron))
                                                                       Perceptrons
  (with-slots (output bias inputs weights) self
                                                                       Perceptrons
                                                                       Extending perceptrons
    (setq output (if (> (+ bias
                               (apply #' +
                                                                       Nonlinear neurons
                                       (mapcar #' * inputs
                                                                       Feedforward
                                                weights)))
                                                                       neural networks
                           0.0)
                                                                       Matrix form of NN
                     1
                                                                       Gradient descent
                     0))))
                                                                       learning in FFNs
                                                                       Backpropagation
(defmethod adjust-weights ((self perceptron))
  (with-slots (inputs weights target output alpha) self
                                                                       Deep learning
    (compute-output self)
                                                                       Summary
    (let ((delta (loop for weight in weights)
     for input in inputs
                        collect (* alpha (- target output)
input))))
       (format t
      "~s -> ~s (desired = ~s), weights=~s, delta=~s~%"
                inputs output target weights delta)
       (setq weights (mapcar #' + weights delta))
       (format t " new weights=~s~%" weights))))
```



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```
(defmethod train ((self perceptron) examples)
  (with-slots (inputs target output weights) self
  (loop for count from 1 to (length examples)
      for example in examples
      do (setf inputs (car example)
            target (cadr example))
            (compute-output self)
            (adjust-weights self)
            (compute-output self)
            )))
```

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(defvar *perceptron* nil)	Perceptrons
	Perceptrons
(defun train-for-tt (&key new? examples (bias -6) (inputs 3))	Extending perceptrons
(when new?	Nonlinear neurons
<pre>(setq *perceptron* (make-instance 'perceptron :bias bias :num-inputs inputs)))</pre>	Feedforward neural networks
<pre>(train *perceptron* examples) :: now check it:</pre>	Matrix form of NN
(loop for thing in examples	Gradient descent learning in FFNs
(compute-output *perceptron*) (car thing))	Backpropagation
(format t "~s => ~s~%" (car thing)	Deep learning
(output *perceptron*))))	Summary



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```
(defvar *and-tt* '(((0 0) 0)
  ((0 1) 0)
  ((1 0) 0)
  ((1 1) 1)))
(defvar *or-tt* '(((0 0) 0)
  ((0 1) 1)
  ((1 0) 1)
  ((1 1) 1)))
(defvar *xor-tt* '(((0 0) 0)
  ((0 1) 1)
  ((1 0) 1)
  ((1 0) 1)
  ((1 1) 0)))
```

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# What can it do?

### • Linear classifier.

- Finds line/plane/hyperplane separating class 1 from class 2
  - 2 inputs  $\Rightarrow$  line between sets
  - 3 inputs  $\Rightarrow$  plane, etc.
- ► Sets can be separated by hyperplane ⇒ *linearly-separable*
- Training set linearly-separable, algorithm converges
- Example: can learn NAND function

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May not be a unique solution

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- May not be a unique solution
  - Thus may have suboptimal learning
  - Support vector machine (SM): "perceptron of optimal stability"

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- May not be a unique solution
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- Worse problem: can't learn even simple non-linearly-separable function

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  - Support vector machine (SM): "perceptron of optimal stability"
- Worse problem: can't learn even simple non-linearly-separable function
  - Minsky & Papert (1960): Perceptrons book
  - Perceptron can't learn XOR function

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- Worse problem: can't learn even simple non-linearly-separable function
  - Minsky & Papert (1960): Perceptrons book
  - Perceptron can't learn XOR function
  - Killed perceptron research for a while

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# Extending perceptrons

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# Perceptron networks

Single perceptron: very limited

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# Perceptron networks

- Single perceptron: very limited
- Idea: hook a bunch together in a network

### Introduction

Perceptrons

Perceptrons Extending perceptrons

Nonlinear neurons

Feedforward neural networks

Matrix form of NN

Gradient descent learning in FFNs

Backpropagation

Deep learning

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- Single perceptron: very limited
- Idea: hook a bunch together in a network
- What can a perceptron network do?
  - Based on what you know, what do you think?

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