# A Genetic Algorithm Implementation

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## Contents

1	$\operatorname{Intr}$	oduction	3
<b>2</b>	Hea	der information	3
3	Clas	ss definitions	3
	3.1	Individual	3
	3.2	Population	4
	3.3	GÅ (genetic algorithm)	4
	3.4	Initialization "after" methods	5
4	Vari	iables	6
	4.1	Alphabets	6
		4.1.1 condition-string	6
		4.1.2 Defined alphabets	6
	4.2	UI variables	8
<b>5</b>	Met	hods/functions	8
	5.1	get-alphabet	8
	5.2	random-genome	9
	5.3	Fitness computation methods	9
		5.3.1 compute-fitnesses	9
		5.3.2 compute-fitness	9
		5.3.3 sort-by-fitness	10
	5.4	status	10
	5.5	display	10
	5.6	best-fitness, average-fitness, worst-fitness	11
	5.7	genome-as-string	11
	5.8	reproduce	11
	5.9	crossover	12
	5.10	mutate	13
	5.11	choose?	13
	5.12	add-individuals	13
	5.13	fittest	13

6 Use	er interface	13
6.1	Computing fitness	14
	6.1.1 string-match-fitness	14
6.2	Running the GA	14
	6.2.1 next-generation	14
	6.2.2 run	14
6.3	Sample GA task: Learn a string	16
6.4	Collect statistics	17
6.5	Finding out what the answer is	17
	6.5.1 best-answer	17
	6.5.2 best-answers	18
6.6	Another GA task: TSP	18
	6.6.1 City map	18

## 1 Introduction

The file "genetic-algorithm.lisp" contains code for a simple genetic algorithm (GA), written using CLOS. It was written both to provide a genetic algorithm implementation to experiment with as well as to give an example of a reasonably complex Lisp program.

The file is written as a *literate programming* file, with (in this case,  $IAT_EX$ ) documentation interleaved with the code. If you are interested in the program that converts back and forth from  $Lisp \leftrightarrow IAT_EX$ , let me know.

## 2 Header information

1 (in-package cl-user)

## 3 Class definitions

The program is structured using three CLOS classes:

- ga: the genetic algorithm object itself;
- population: an object holding the population of individuals; and
- individual: an object representing an individual solution.

#### 3.1 Individual

The individual class holds all the information needed to represent an individual in the population, that is, a candidate solution to the problem. Each has:

- id: the name of the individual
- size: length of the genome
- kind: the name of the alphabet the genome is drawn from
- fitness: the individual's current

The size of the genome is set when the GA is set up, as is the kind of genome it is. "Kind" here refers to the alphabet that the elements of the genome are drawn from; see the description of the variable **\*alphabet-map\*** for the kinds of genomes supported and their names. The fitness is a number that is returned from the fitness function (see class ga).

The genome of an individual can be considered a string of characters by the user. Internally, however, the string is converted (via the function condition-string) to a list of symbols internally. Each symbol corresponds to a letter in the string. For example:

```
CL-USER> (condition-string "Hi there")
(H |i| | |t| |h| |e| |r| |e|)
```

The |'s in the symbol names mean that the symbol has special characters that are not usually part of symbol names, such as lower-case letters.<sup>1</sup> The function genome-as-string converts this list back to a nice-looking string.

<sup>&</sup>lt;sup>1</sup>These aren't usually found in symbol names if we are using a case-insensitive Lisp, as I usually do.

```
(defclass individual ()
2
3
      (
4
       (id :initform (intern (symbol-name (gensym "INDIV"))) :initarg :id :accessor id)
5
       (size :initform 20 :initarg :size :accessor size)
6
       (genome :initform nil :initarg :genome :accessor genome)
7
       (kind :initform 'bitstring :initarg :kind :accessor kind)
8
       (fitness :initform nil :initarg :fitness :accessor fitness)
9
       )
10
      )
```

## 3.2 Population

The population class defines a population of individuals. It has instance variables:

- id: the name of the population
- individuals: a list of all individuals in the population
- size: the size of the population
- individual-size: the size of each individual's genome (see 3.2)
- individual-kind: the kind of each individual's genome (see 3.2)

```
(defclass population ()
11
12
      (
       (id :initform (intern (symbol-name (gensym "POP"))) :initarg :id :accessor id)
13
       (individuals :initform nil :initarg :individuals :accessor individuals)
14
       (size :initform 50 :initarg :size :accessor size)
15
       (individual-size :initform 20 :initarg :individual-size :accessor individual-size)
16
17
       (individual-kind :initform 'bitstring :initarg :individual-kind
                         :accessor individual-kind)
18
19
       )
20
      )
```

#### **3.3** GA (genetic algorithm)

The ga class holds methods and instance variables needed for the operation of the GA. Instance variables include:

- id: the name of the GA
- population: the population (an instance of population)
- mutation-rate: the rate of mutations; that is, how likely a mutation is during each reproduction event
- crossover-rate: the rate of crossovers; that is, how likely a crossover event is during each reproduction
- fitness-function: a function that is applied to the genome of an individual to determine that individual's fitness (a number)

- individual-size: the size of an individual's genome
- population-size: the size of the population
- individual-kind: the kind of genome in use (see the individual class)
- breeding-pairs: the number of breeding pairs to select each generation
- silent: if t, then no status information is printed; this can speed up the execution of the GA

The breeding-pairs instance variable is used to determine how many of the fittest individuals are selected to produce children for the next generation. Currently, the top 2n individuals, where n = breeding-pairs, are selected and paired off in order. This is a place where other choices could be tried to see if it makes any difference. The pair then create two children. See the method reproduce for information about how reproduction actually takes place.

```
(defclass ga ()
21
22
      (
23
       (id :initform (intern (symbol-name (gensym "GA"))) :initarg :id :accessor id)
24
       (population :initform nil :initarg :population :accessor population)
       (mutation-rate :initform 0.1 :initarg :mutation-rate :accessor mutation-rate)
25
       (crossover-rate :initform 0.1 :initarg :crossover-rate :accessor crossover-rate)
26
27
       (fitness-function :initform #'(lambda (i) (random 1.0))
28
          :initarg :fitness-function :accessor fitness-function)
       (individual-size :initform 20 :initarg :individual-size :accessor individual-size)
29
30
       (population-size :initform 50 :initarg :population-size :accessor population-size)
31
       (generation :initform 0 :initarg :generation :accessor generation)
       (individual-kind :initform 'bitstring :initarg :individual-kind :accessor individual-kind
32
       (breeding-pairs :initform 4 :initarg :breeding-pairs :accessor breeding-pairs)
33
       (silent :initform nil :initarg :silent :accessor silent)
34
35
       )
36
      )
```

## 3.4 Initialization "after" methods

Often in CLOS, it's useful to perform additional actions after an object is initialized by make-instance. Instead of calling a user-defined initialization function, it's cleaner in many cases to write an :after method for the built-in method initialize-instance, which is called as a result of calling make-instance. The :after method is called after the built-in method without the user having to do anything.

We use the :after methods here to set up the population and individuals when ga is instantiated.

```
37
    (defmethod initialize-instance :after ((instance ga) &rest args)
38
      (declare (ignore args))
39
      (with-slots (population individual-size
40
            population-size generation individual-kind) instance
        (setq generation 0)
41
        (setq population (make-instance 'population :size population-size
42
         :individual-size individual-size
43
         :individual-kind individual-kind))
44
```

```
45
        (compute-fitnesses instance)
46
        )
      )
47
48
    (defmethod initialize-instance :after ((instance population) &rest args)
49
      (declare (ignore args))
50
51
      (with-slots (individuals individual-size size individual-kind) instance
52
        (setq individuals (loop for ind from 1 to size
53
       collect (make-instance 'individual :size individual-size
      :kind individual-kind
54
55
      )))))
56
57
    (defmethod initialize-instance :after ((instance individual) &rest args)
      (declare (ignore args))
58
      (with-slots (size genome kind) instance
59
60
        (setq genome (or genome (random-genome instance size kind)))))
```

## 4 Variables

## 4.1 Alphabets

We define several alphabets for you to use. You may define others; if you do, however, you will need to modify the get-alphabet function. Each alphabet is present as a genome-like list and is in a variable named \*alphabet-XXXX\*, where XXXX describes the alphabet.

First, we define a function needed to convert strings into genomes.

#### 4.1.1 condition-string

As we described when discussing the individual class, this will take a string and return a properlyformed genome. A genome is represented as a list of symbols, each of which corresponds to a character in the string. Two versions of this are provided, one, which just returns its argument, for when the genome is already a list, and the other that actually converts the string to a genome.

```
61 (defmethod condition-string ((str list))
62 str)
63 (defmethod condition-string ((str string))
64 (map 'list #'(lambda (a) (intern (string a)))
65 str))
```

#### 4.1.2 Defined alphabets

Here are the alphabets we have defined:

• Lower case letters:

```
66 (defvar *alphabet-lower-case*
67 (condition-string "abcdefghijklmnopqrstuvwxyz"))
```

• Upper case letters:

68 (defvar \*alphabet-upper-case\* 69 (condition-string "ABCDEFGHIJKLMNOPQRSTUVWXYZ"))

• Numerals 0–9:

70 (defvar \*alphabet-numerals\* 71 (condition-string "0123456789"))

• The space character:

72 (defvar \*alphabet-space\* (condition-string " "))

• Punctuation symbols:

73 (defvar \*alphabet-punctuation\* 74 (condition-string ":;,?!,-"))

• Special characters:

75 (defvar \*alphabet-special\* 76 (condition-string "@#\$%^&\*()\_+=|\}]{['\"/><"))</pre>

• Bits (0, 1):

```
77 (defvar *alphabet-bitstring*
78 (condition-string "01"))
```

The variable **\*alphabet-map\*** contains a mapping from labels to the corresponding alphabets. The format of each entry in the map is:

(label(s) alphabet(s))

where the first element is a (keyword) label or list of labels and the remainder is a list of names of alphabet variables or labels. So, for example,

```
(:uppercase *alphabet-upper-case*)
```

maps the label :uppercase to the variable containing the uppercase letters, and

```
(:alphanumeric :alphabet :numerals)
```

maps the label :alphanumeric to the concatenation of the alphabets named :alphabet and :numerals.

The function get-alphabet uses this map to produce alphabets for (e.g.) reproduction and instantiation of individuals.

```
(defvar *alphabet-map*
79
80
        '(
81
          ((:bitstring :bits :binary) *alphabet-bitstring*)
82
          (:uppercase *alphabet-upper-case*)
          (:lowercase *alphabet-lower-case*)
83
          (:punctuation *alphabet-punctuation*)
84
85
          (:numerals *alphabet-numerals*)
86
          (:special-chars *alphabet-special*)
          (:alphabet+space :alphabet *alphabet-space*)
87
88
          ((:letters :alphabet) :uppercase :lowercase)
89
          (:alphanumeric :alphabet :numerals)
          (:alphanumeric+space :alphabet+space :numerals)
90
91
          (:all :alphanumeric+space :punctuation :special-chars)
92
          )
      )
93
```

## 4.2 UI variables

The user interface, at the moment consisting of just a few functions (see Section 6), stores the target string, the GA instance, and some execution statistics in these variables

```
94 (defvar *target* '(T h i s space i s space a space t e s t))
95 (defvar *ga* nil)
96 (defvar *stats* nil)
```

## 5 Methods/functions

#### 5.1 get-alphabet

This uses the **\*alphabet-map\*** variable to find an alphabet based on a label; see that variable's description to see the valid alphabets. The label can be a symbol or a keyword. The alphabet is returned in the form of a genome, and it has been copied so that no modifications will affect the base alphabets themselves.

```
(defun get-alphabet (label)
 97
       (unless (keywordp label)
 98
 99
         (setq label (intern (symbol-name label) 'keyword)))
100
       (labels ((compose-alphabets (alphabets)
101
           (cond
102
            ((null alphabets) nil)
            ((keywordp (car alphabets))
103
             (append (get-alphabet (car alphabets))
104
             (compose-alphabets (cdr alphabets))))
105
106
            (t
              (append (eval (car alphabets))
107
             (compose-alphabets (cdr alphabets)))))))
108
109
         (let ((alphabets (cdr (assoc label *alphabet-map*
          :test #'(lambda (a b)
110
            (if (listp b)
111
```

```
112 (member a b)
113 (eql a b))))))
114 (when (setq alphabets (compose-alphabets alphabets))
115 (copy-list alphabets)))))
```

#### 5.2 random-genome

This returns a random genome of size *size* composed of characters drawn from the alphabet *kind*, which defaults **bitstring**.

116 (defmethod random-genome ((self individual) size &optional (kind 'bitstring))
117 (let ((alphabet (if (consp kind) kind (get-alphabet kind))))
118 (loop with len = (length alphabet)
119 for i from 1 to size
120 collect
121 (nth (random len) alphabet))))

#### 5.3 Fitness computation methods

These compute the fitness of individuals based on a *fitness function*, contained in the GA instance.

#### 5.3.1 compute-fitnesses

These two methods, one for ga instances and one for population instances, together compute the fitness of all the individuals in the GA.

```
(defmethod compute-fitnesses ((self ga) &key fcn &allow-other-keys)
122
       (with-slots (population fitness-function) self
123
124
         (compute-fitnesses population :fitness-function (or fcn fitness-function))))
125
126
     (defmethod compute-fitnesses ((self population) &key fitness-function &allow-other-keys)
127
       (with-slots (individuals) self
128
129
         (loop for indiv in individuals
      do (compute-fitness indiv fitness-function))
130
131
         (sort-by-fitness self)))
```

#### 5.3.2 compute-fitness

This applies a fitness function to the individual's genome. It updates the individual's **fitness** instance variable. There are two versions, one for an **individual** instance, and one for a genome; the former calls the latter.

```
132 (defmethod compute-fitness ((self individual) fcn)
133 (with-slots (fitness genome) self
134 (setq fitness (compute-fitness genome fcn))))
135
136 (defmethod compute-fitness ((genome list) fcn)
137 (funcall fcn genome))
```

#### 5.3.3 sort-by-fitness

This method (of **population**) sorts all of a population's individuals by their fitness, with the best individuals first.

```
138 (defmethod sort-by-fitness ((self population))
139 (with-slots (individuals) self
140 (setq individuals (sort individuals #'(lambda (a b)
141 (> (fitness a) (fitness b)))))))
```

## 5.4 status

This prints the status of ga to the screen.

```
(defmethod status ((self ga))
142
       (with-slots (generation silent) self
143
         (unless silent
144
145
           (format t
            "~&Generation ~s: fitness (b/a/w) = ~,2f/~,2f/~,2f, best answer=~s~%"
146
147
            generation (best-fitness self) (average-fitness self)
148
            (worst-fitness self)
            (car (best-answers self 1))))))
149
```

## 5.5 display

The display generic function and its methods (one each for ga, population, and individual) show the GA and its pieces in a nice form for the user. If :individuals-too? is specified for the population or GA version, then all the individuals are displayed as well.

```
150
     (defmethod display ((self individual) &key (stream t))
       (with-slots (id fitness genome) self
151
         (format stream "~&~2T~s (fitness=~,2f)~15T~s~%"
152
153
          id fitness (genome-as-string self))))
154
155
     (defmethod display ((self population) &key (stream t) (individuals-too? nil))
       (with-slots (id individuals size individual-size individual-kind) self
156
157
         (format stream "~&~2T~s: ~s individuals with ~s genomes~%"
158
          id size individual-kind)
159
         (format stream "~5THighest/average/lowest fitness:~,2f/~,2f~,2f~%"
          (fitness (car individuals))
160
          (average-fitness self)
161
162
          (fitness (car (last individuals))))
163
         (when individuals-too?
164
           (mapcar #'display individuals))))
165
166
     (defmethod display ((self ga) &key (stream t) (individuals-too? nil))
       (with-slots (population id mutation-rate crossover-rate generation breeding-pairs) self
167
         (format stream "~&GA program ~s:~%" id)
168
         (format stream "~& Current generation: ~s~%" generation)
169
```

```
170 (format stream "~& Mutation rate: ~s~%" mutation-rate)
171 (format stream "~& Crossover-rate: ~s~%" crossover-rate)
172 (format stream "~& # breeding pairs: ~s~%" breeding-pairs)
173 (format stream "~& Population:~%")
174 (display population :stream stream :individuals-too? individuals-too?)))
```

#### 5.6 best-fitness, average-fitness, worst-fitness

These generic functions and their methods (for population, ga, individual) return the best, average, and worst fitnesses in the population.

```
(defmethod best-fitness ((self ga))
175
176
       (with-slots (population) self
         (best-fitness population)))
177
178
179
     (defmethod worst-fitness ((self ga))
180
       (with-slots (population) self
181
         (worst-fitness population)))
182
183
     (defmethod best-fitness ((self population))
184
       (with-slots (individuals) self
         (fitness (car individuals))))
185
186
     (defmethod worst-fitness ((self population))
187
       (with-slots (individuals) self
188
189
         (fitness (car (last individuals)))))
190
     (defmethod average-fitness ((self ga))
191
       (with-slots (population) self
192
193
         (average-fitness population)))
194
     (defmethod average-fitness ((self population))
195
       (with-slots (individuals size) self
196
197
         (float (/ (apply #'+ (mapcar #'fitness individuals)) size))))
```

#### 5.7 genome-as-string

This takes a genome (a list) and converts the symbols back into characters and concatenates them. This make is much easier for the user to read.

```
198 (defmethod genome-as-string ((self individual) &key genome-list)
199 (with-slots (genome) self
200 (apply #'concatenate (cons 'string (mapcar #'symbol-name (or genome-list genome))))))
```

#### 5.8 reproduce

These methods of the generic function **reproduce** together take two individuals and return two children (as two separate values). With probability **crossover-rate**, the genomes of the parents will be crossed over at some random point to create the children; with probability **mutation-rate**,

one or both of the children will suffer a random mutation. If no mutation or crossover occurs, the children are copies of the parents.

```
(defmethod reproduce ((p1 individual) (p2 individual) &key mutation-rate
201
         crossover-rate alphabet)
202
203
       (multiple-value-bind (ng1 ng2)
           (reproduce (genome p1) (genome p2) :mutation-rate mutation-rate
204
205
       :crossover-rate crossover-rate
206
       :alphabet alphabet)
207
         (values (make-instance 'individual :genome ng1
208
         :size (size p1) :kind (kind p1))
          (make-instance 'individual :genome ng2
209
210
         :size (size p1) :kind (kind p1)))))
211
212
     (defmethod reproduce ((g1 list) (g2 list) &key mutation-rate crossover-rate alphabet)
213
       (when (symbolp alphabet)
214
         (setq alphabet (get-alphabet alphabet)))
       (setq g1 (copy-list g1)
215
      g2 (copy-list g2))
216
       (when (choose? crossover-rate)
217
218
         (multiple-value-setq (g1 g2) (crossover g1 g2)))
       (when (choose? mutation-rate)
219
220
         (setq g1 (mutate g1 alphabet)))
221
       (when (choose? mutation-rate)
222
         (setq g2 (mutate g2 alphabet)))
223
       (values g1 g2))
```

## 5.9 crossover

The **crossover** method picks a random spot and crosses over two genomes: i.e., from that point on, the genomes of the two are switched. The method returns the genomes as two values.

```
224
     (defmethod crossover ((a list) (b list))
       (let ((position (1+ (random (1- (length a)))))
225
226
      kids)
227
         (setq kids
228
           (loop for counter from 1 to (length a)
229
        for i in a
230
        for j in b
231
        when (>= counter position)
        collect (list i j)
232
233
        else
        collect (list j i)))
234
         (values (mapcar #'car kids)
235
236
          (mapcar #'cadr kids))))
```

#### 5.10 mutate

This method will mutate a genome by replacing a character at a random position by a random character from the alphabet the genome is composed of.

```
237 (defmethod mutate ((genome list) alphabet)
238 (setf (nth (random (length genome)) genome)
239 (nth (random (length alphabet)) alphabet))
240 genome)
```

## 5.11 choose?

This function takes a probability and returns t with that probability.

```
241 (defun choose? (probability)
242 (<= (random 1.0) probability))</pre>
```

## 5.12 add-individuals

Add the **new** individuals to the population. This then sorts the list of individuals by decreasing fitness. (Probably not the most efficient way I could have done this; I'll maybe change it later to insert rather than sort.)

```
243 (defmethod add-individuals ((self population) new)
244 (with-slots (individuals size) self
245 (setq individuals (append new individuals))
246 (sort-by-fitness self)
247 (setq individuals (fittest self size))))
```

## 5.13 fittest

This returns the n fittest individuals in the population as a list.

```
(defmethod fittest ((self ga) &optional (n 1))
248
       (with-slots (population) self
249
250
         (fittest population n)))
251
     (defmethod fittest ((self population) & optional (n 1))
252
253
       (with-slots (individuals) self
254
         (loop for i from 1 to n
255
      for indiv in individuals
256
      collect indiv)))
```

## 6 User interface

There are several functions the user can use to set up and run a genetic algorithm. One way, of course, is to create a ga instance using make-instance, then run it using the run method. For complex problems (e.g., learning a traveling salesperson task, etc.), this is probably the best thing to do.

However, we provide a simple interface here for learning strings. The learn-string function creates a ga instance, stores it in the variable \*GA\*, and runs the GA.

## 6.1 Computing fitness

You can define your own fitness functions, of course. Each should take one argument, a genome, and return a number. Other than that, there are no restrictions on what kinds of functions you can have.

We have provided one for the learn-string function (below):

## 6.1.1 string-match-fitness

The string-match-fitness function simply counts the number of correct letters. Probably the GA's hill-climbing could be made more efficient by changing this to take into account how close the letters are to the target's and using the order of the letters in the alphabet.

```
257 (defun string-match-fitness (i)
258 (count t (mapcar #'eql i *target*)))
```

## 6.2 Running the GA

#### 6.2.1 next-generation

This method does the bulk of the GA's work. It is called by run repeatedly to evolve the GA. Each call, next-generation selects the fittest n individuals (as specified by the ga instance's **breeding-pairs** instance variable) and lets pairs of them reproduce. The children are added to the list of individuals, then the list is sorted and the best are kept (as specified by the population's size instance variable).

```
259
     (defmethod next-generation ((self ga))
       (with-slots (population generation fitness-function breeding-pairs
260
261
             crossover-rate mutation-rate individual-kind) self
         (let ((pairs (fittest population (* 2 breeding-pairs))))
262
263
           (loop with c1
264
        with c2
265
        for i from 0 to breeding-pairs by 2
266
        do (multiple-value-setq (c1 c2)
             (reproduce (nth i pairs) (nth (1+ i) pairs)
267
268
        :crossover-rate crossover-rate
        :mutation-rate mutation-rate
269
270
        :alphabet individual-kind))
271
           (compute-fitness c1 fitness-function)
           (compute-fitness c2 fitness-function)
272
273
           (add-individuals population (list c1 c2))
274
275
           (incf generation)
276
           )))
```

#### 6.2.2 run

The primary method for running the GA is just called **run**. It takes one positional argument, the **ga** instance, and several keyword arguments:

• :for - if set, run for this many generations, then stop

- :until-best-fitness if set, run until the fitness of the best individual is  $\geq$  this
- :until-average-fitness if set, run until the average fitness of the population is  $\geq$  this
- :until-generation if set, run until this generation
- :recompute-fitness? if set, recompute the fitness of all the individuals each generation; this would be useful, for example, if the situation is changing such that the fitnesses are likely to change
- :file a filename to hold the data generated by the run
- :status-every if set, print the status only one every this many generations

Data is written to the file in the form:

#### generation# best-fitness average-fitness worst-fitness fittest-genome

Careful, though, since this will overwrite any old file of the same name.

```
277
     (defmethod run ((self ga) &key until-best-fitness until-average-fitness
278
             for until-generation recompute-fitness? (file "ga-results.dat")
279
             (status-every 50))
280
       (with-open-file (out file :direction :output
281
         :if-exists :supersede
282
         :if-does-not-exist :create)
         (with-slots (generation silent) self
283
284
           (loop with status-counter = status-every
285
        do (next-generation self)
286
           (when recompute-fitness?
287
             (compute-fitnesses self))
           (format out "~s ~s ~s ~s ~s~%"
288
289
           generation (best-fitness self)
290
           (average-fitness self)
           (worst-fitness self)
291
292
           (genome-as-string (car (fittest self 1)))
293
           )
294
           (when (or (null status-counter)
             (zerop status-counter))
295
             (status self)
296
297
             (when status-counter
298
       (setq status-counter status-every)))
299
           (when for (decf for))
300
           (when status-counter (decf status-counter))
        until (or (and until-best-fitness (>= (best-fitness self) until-best-fitness))
301
          (and until-average-fitness (>= (average-fitness self) until-average-fitness))
302
          (and until-generation (>= generation until-generation))
303
          (and for (zerop for))))
304
305
           (unless silent
306
      (format t "Run complete:")
307
      (status self))
308
           (values (best-answer self) generation))))
```

#### 6.3 Sample GA task: Learn a string

The learn-string function is used to create a GA and learn a string. It takes one positional argument, the string to be learned (as a string, which is converted to genome form), and several keyword arguments:

- :individual-kind the kind of individual (genome); defaults to :alphanumeric+space
- :until-best-fitness if set, the GA will run until the best fitness  $\geq$  this value
- :until-average-fitness if set, the GA will run until the average fitness  $\geq$  this value
- : for if set, the GA will run for this many generations
- :until-generation if set, the GA will run until this generation is reached
- :status-every this determines how often a status message is printed (default is every 50 generations)
- :breeding-pairs how many breeding pairs to use (default 4)
- :mutation-rate the mutation rate (0.1)
- :crossover-rate the crossover rage (0.1)
- :population-size the size of the population (50)
- :silent if set, no there are no status messages (default is nil)
- :file data is written to this file (default is "learn-string-results.dat") in the form described in the discussion of run.

```
(defun learn-string (string &key (individual-kind :alphanumeric+space)
309
310
       until-best-fitness until-average-fitness
311
       for until-generation
312
       (file "learn-string-results.dat")
313
       (status-every 50)
314
       (breeding-pairs 4)
       (mutation-rate 0.1)
315
       (crossover-rate 0.1)
316
       (population-size 50)
317
318
       (silent nil)
319
       (fitness-function #'string-match-fitness))
320
321
       (setq *target* (condition-string string))
322
323
       (setq *ga* (make-instance 'ga
324
             :breeding-pairs breeding-pairs
325
             :mutation-rate mutation-rate
326
             :crossover-rate crossover-rate
327
             :population-size population-size
328
             :individual-size (length *target*)
```

```
329
             :individual-kind individual-kind
330
             :fitness-function fitness-function
331
             :silent silent))
332
       (run *ga* :status-every status-every :file file
333
334
            :until-generation until-generation
335
            :until-best-fitness (or until-best-fitness (length string))
            :until-average-fitness until-average-fitness
336
            :for for))
337
```

## 6.4 Collect statistics

The stats function takes a string and runs learn-string on substrings of it iterations times each, from 2 to the length of the string, collecting the average of the generations needed fro each substring. The stats are put into \*stats\*. The kind argument determines the kind of alphabet to use. (I should probably have this generate random strings for this in future.)

```
338
     (defun stats (string &key (iterations 10) (kind :all))
339
      (setq *stats* nil)
       (format t "~%")
340
341
       (loop for i from 2 to (length string)
           do (format t "~s" i)
342
343
       (loop
344
           for j from 1 to iterations
345
           collect (multiple-value-bind (val gens)
       (learn-string (subseq string (- (length string) i))
346
347
             :until-best-fitness i
             :silent t
348
349
             :individual-kind kind)
             (format t ".")
350
             gens)
351
352
           into sum
           finally (push (list i (float (/ (apply #'+ sum) iterations))) *stats*))
353
354
           finally (setq *stats* (reverse *stats*)))
355
       (loop for run in *stats*
           do (format t "~&~s~10T~,2f~%" (car run) (cadr run)))
356
357
       *stats*)
```

#### 6.5 Finding out what the answer is

#### 6.5.1 best-answer

This returns the best answer (i.e., the genome of the fittest individual).

```
358 (defmethod best-answer ((self ga))
359 (car (best-answers self 1)))
360
361 (defmethod best-answers ((self ga) &optional (n 1) (as-strings? t))
362 (with-slots (population) self
363 (best-answers population n as-strings?)))
```

#### 6.5.2 best-answers

This returns the n best answers at the current time. If as-strings? is set, then the genomes are translated to strings before being returned.

```
364
     (defmethod best-answers ((self population) & optional (n 1) (as-strings? t))
365
       (with-slots (individuals) self
366
         (loop
367
      for i from 1 to n
368
      collect (if (not as-strings?)
        (genome (nth (1- i) individuals))
369
370
        (genome-as-string (nth (1- i) individuals)))
371
      into ba
372
      collect (fitness (nth (1- i) individuals)) into bf
373
      finally (return (values ba bf)))))
```

#### 6.6 Another GA task: TSP

The Traveling Salesperson Problem (TSP) is a well-known NP-hard problem. Here, we will attempt to solve it with a GA. The task is to find the shortest route through a group of cities, visiting each city only once and ending up at the start city. The model uses (usually) a complete graph, though we can add extremely long (or infinite) paths between cities that don't have a real connection.

We'll model the cities as a simple list of the form:

((a b 15) (b c 20)...)

etc., meaning that the route from city a to city b is 15 units, etc. We assume a undirected graph.

To convert this into a GA, we'll simply use a letter per city and let a string represent a path. Thus for 4 cities, a path might be:

#### "abcda"

representing starting at city "a", going to "b", "c", and "d", then back to "a".

The fitness function will compute the cost, and the GA will try to minimize that cost. Note that this inverts the sense of fitness. Thus, we'll make the costs negative, so that as we "reduce" the costs, we really get closer to 0, and so the fitness can be in the usual, increasing, sense.

#### 6.6.1 City map

1. generate-tsp

First, a function that will generate a random TSP problem given the number of cities and min and max link costs.

374	(defun generate-tsp (n mincost maxcost)
375	<pre>(let ((possible (loop for city in *alphabet-upper-case*</pre>
376	for i from 1 to n
377	collect city)))
378	(labels ((paths (city rest min max)
379	(cond

```
380 ((null rest) nil)
381 (t (append (loop for other in rest
382 collect (list city other (+ min (random (- max min)))))
383 (paths (car rest) (cdr rest) min max)))))
384 (paths (car possible) (cdr possible) mincost maxcost))))
```

2. \*tsp\*

Now, a variable to hold the newly-created TSP.

385 (defvar \*tsp\* (generate-tsp 5 1 10))

3. solve-tsp

This function uses learn-string in order to solve a TSP. The fitness function is defined below.

386	$(defun solve_tsn (kkey tsn (n 5) (min 1) (may 10)$
387	(individual_kind :alphanumeric+space)
388	until_best_fitness_until_average_fitness
380	for until_generation
300	(file "loorn string regults dot")
201	(iiie ieain-string-results.dat)
200	(Status-every 50)
392	(breeding-pairs 4)
393	(mutation-rate 0.1)
394	(crossover-rate 0.1)
395	(population-size 50)
396	(silent nil)
397	ga)
398	
399	(setq *tsp*
400	(if tsp
401	tsp
402	(setq tsp (generate-tsp n min max))))
403	
404	<pre>(let ((cities (remove-duplicates (apply #'append</pre>
405	(mapcar #'(lambda (a)
406	(list (car a) (cadr a)))
407	tsp)))))
408	(unless ga
409	(setq *ga* (make-instance 'ga
410	:breeding-pairs breeding-pairs
411	:individual-kind (copy-list cities)
412	:individual-size (1+ (length cities))
413	:breeding-pairs breeding-pairs
414	:mutation-rate mutation-rate
415	:crossover-rate crossover-rate
416	:population-size population-size
417	:silent silent

```
418 :fitness-function #'tsp-fitness-function
419 )))
420
421 (run *ga* :status-every status-every :file file
422 :until-generation until-generation
423 :until-best-fitness until-best-fitness
424 :until-average-fitness until-average-fitness
425 :for for)))
```

4. tsp-fitness-function

This simply calculates the cost of the current path and returns it as a negative number. Thus shorter paths will be less negative, hence fitter. If a path does not begin and end at the same place, then it's penalized, as it is if a city occurs more than once (other than start and end).

```
426
     (defvar *tsp-non-circuit-penalty* most-positive-fixnum)
     (defvar *tsp-duplicate-city-penalty* most-positive-fixnum)
427
428
429
     (defun tsp-fitness-function (path)
430
       (cond
431
        ((not (eql (car path) (car (last path))))
432
         (- *tsp-non-circuit-penalty*))
433
        ((< (length (remove-duplicates path)) (1- (length path)))
         (- *tsp-duplicate-city-penalty*))
434
435
        (t
436
         (- (path-cost path *tsp*)))))
437
438
439
     (defun path-cost (path &optional (tsp *tsp*))
440
       (cond
        ((< (length path) 2) 0)
441
442
        (t (+ (segment-cost (car path) (cadr path) tsp)
443
       (path-cost (cdr path) tsp)))))
444
     (defun segment-cost (a b &optional (tsp *tsp*))
445
446
       (or (third (car (member (list a b) tsp
447
        :test #'(lambda (target candidate)
448
          (or (and (eql (car target) (car candidate))
449
           (eql (cadr target) (cadr candidate)))
      (and (eql (car target) (cadr candidate))
450
451
           (eql (cadr target) (car candidate))))))))
452
           most-positive-fixnum))
```

## Index

\*TSP\* (variable), 19 \*alphabet-bitstring\* (variable), 7 \*alphabet-lower-case\* (variable), 6 \*alphabet-map\* (variable), 7 \*alphabet-numerals\* (variable), 7 \*alphabet-punctuation\* (variable), 7 \*alphabet-space\* (variable), 7 \*alphabet-special\* (variable), 7 \*alphabet-upper-case\* (variable), 6 \*ga\* (variable), 8 \*stats\* (variable), 8 \*target\* (variable), 8 \*tsp-duplicate-city-penalty\* (variable), 20 \*tsp-non-circuit-penalty\* (variable), 20 add-individuals (method), 13 average-fitness (method), 11 best-answer (method), 17 best-answers (method), 18 best-fitness (method), 11 choose? (function), 13 compute-fitness (method), 9 compute-fitnesses (method), 9 condition-string (method), 6 crossover (method), 12 display (method), 10 fittest (method), 13 ga (class), 4 generate-tsp (function), 18 genome-as-string (method), 11 get-alphabet (function), 8 individual (class), 3 learn-string (function), 16 mutate (method), 13 next-generation (method), 14 path-cost (function), 20

population (class), 4

random-genome (method), 9

reproduce (method), 11 run (method), 15 segment-cost (function), 20 solve-tsp (function), 19 sort-by-fitness (method), 10 stats (function), 17 status (method), 10 string-match-fitness (function), 14 tsp-fitness-function (function), 20 worst-fitness (method), 11